



## Alec Rothrock Biography

Alec Rothrock's practice emphasizes legal ethics and attorney discipline defense, and the law of practicing law. He is the author of the book, *Essays on Legal Ethics and Professional Conduct in Colorado* (Continuing Legal Education of Colorado, Inc. 2003) and a past chair of the Colorado Bar Association Ethics Committee.

Mr. Rothrock is admitted to practice in the States of Colorado and Illinois (inactive), the United States District Courts for the District of Colorado and the Northern District of Illinois (inactive), and the United States Court of Appeals for the Tenth Circuit. Mr. Rothrock practiced law from 1986 to 1991 with Katten, Muchin & Zavis, P.C., in Chicago before joining Burns, Figa & Will, P.C., P.C, in 1991.

Mr. Rothrock was born in Evanston, Illinois in 1959.

## Curriculum Vitae

### PROFESSIONAL EXPERIENCE

#### 1991 to Present

BURNS, FIGA & WILL, P.C., Greenwood Village, Colorado  
Shareholder  
Legal ethics, discipline and liability

#### 1986 to 1991

KATTEN MUCHIN & ZAVIS, Chicago, Illinois  
Associate  
Commercial and real estate litigation

#### 1984 to 1986

GREENBERGER & KAUFMANN, Chicago, Illinois  
Associate  
Litigation and construction law

### EDUCATION

B.A., 1981, History, University of Michigan, Ann Arbor, Michigan  
1977, University of Michigan, received four Avery Hopwood Freshman  
Writing Awards  
J.D., 1984, DePaul University, Chicago, Illinois  
1983-84, Executive Editor of DePaul Law Review, DePaul College of Law

### BAR ADMISSIONS

Colorado state and federal courts  
Illinois state court and U.S. District Court for the Northern District of  
Illinois (inactive)

### PUBLICATIONS

Essays on Legal Ethics and Professional Conduct in Colorado  
Continuing Legal Education of Colorado, Inc. (2003, Supp. 2006)

#### Chapters:

- Trust Accounting 101
- Associates: The Ethical Obligations of Supervisory and Subordinate Lawyers
- Moonlighting: Usurping Law Firm Opportunities
- Law Firm Divorce
- Ethics (Not Just) for Government Lawyers
- The "Perfect" Letter to Clients from a Departing Lawyer

- Implied Attorney-Client Relationships
- What's So Bad About Sex with a Client's Spouse?
- Motions to Withdraw: Be Careful What You Say
- Ethics in Internal Corporate Investigations
- At Issue Waiver of the Attorney-Client Privilege
- Entity Formation: Defining the Client and the Duty of Confidentiality
- Attorney Fees and Costs: Legal Ethics Considerations
- On Retainers, Flat Fees, and Commingling
- Fee Arrangements for the MTV Generation
- Fee Forfeiture for Ethical Misconduct
- Division of Fees Among Lawyers Not in the Same Firm
- Fee-Splitting with Nonlawyers
- Recording Charging Liens Against Real Property: When, Not Whether
- The Forgotten Flat Fee: Whose Money Is It and Where Should It Be Deposited?
- The "Perfect" Hourly Fee Agreement
- Ethics and Liability Considerations in Products Liability Representations
- Pretrial Publicity
- Cheat the Beatles: Ethics in Investigations
- Discovery Ethics: Deposition Perjury
- Deposing Opposing Counsel
- Suspending the Deposition for Abuse
- Coaching the Witness
- Ex Parte Communications with a Tribunal: From Both Sides
- It Better Be Good! Non-Client Standing to File Motions to Disqualify for Conflicts of Interest
- Expert Witnesses: Considerations of Legal Ethics and Professional Conduct
- Trial Misconduct
- Appellate Ethics
- 1999 Annual Survey Ethics Article
- 2000 Annual Survey Ethics Article
- 2001 Annual Survey Ethics Article
- 2002 Annual Survey Ethics Article
- 2003 Annual Survey Ethics Article
- 2004 Annual Survey Ethics Article
- 2005 Annual Survey Ethics Article
- 2006 Annual Survey Ethics Article
- Attorney Discipline and Disability Process and Procedure
- CBA Ethics Committee Opinion 113: Disclosing Errors to Clients

Necessary Guidance for Lawyers  
- A Response to “Errors and Omissions”

**OTHER PUBLICATIONS**

Don’t

54 Trial Talk 35 (December 2004/January 2005)

Legal Ethics Considerations in Quiet Title Actions

Chapter in Quiet Title Actions in Colorado (Bradford Publishing 2007)

Attorney Discipline and Disability Process and Procedure – Part I

36 Colorado Lawyer 23

Attorney Discipline and Disability Process and Procedure – Part II

36 Colorado Lawyer 41

**COLORADO SUPREME COURT COMMITTEES**

Attorney Regulation Advisory Committee

2005 to Present

Standing Committee on the Colorado Rules of Professional Conduct

2003 to Present

ABA Ethics 2000 Review Committee

2003 to 2004

Ethical Issues in Family Law

2002-2003

Ad Hoc Committee of Unauthorized Practice of Law Committee

2001-2002

**MEMBERSHIPS AND AFFILIATIONS**

Colorado Bar Association Ethics Committee, Member 1994 to Present

Chair 2005-06

Co-Chair, Attorney Regulation Policy Committee, 2002-03

Association of Professional Responsibility Lawyers

American Bar Association, Center for Professional Responsibility

Colorado Bar Association

Denver Bar Association

Arapahoe County Bar Association

**MISCELLANEOUS**

Frequent Lecturer

A/V Rating, Martindale Hubbell

Spanish Language Proficiency