

Symbol	High	Low	Last	Chg.	Symbol	High	Low	Last	Chg.
AMZN	220	218	219	+1	Comcast	350.89	348.33	348.77	-0.12
Apple	229	227	228	+1	Industrials	444.81	440.94	441.86	-0.36
Microsoft	301	299	300	+1	Transp.	232.71	224.11	224.42	-8.63
Google	274	272	273	+1	Utility	245.17	247.88	247.53	+0.48
Facebook	152	150	151	+1	Finance	293.92	291.88	292.93	+1.25
Twitter	44	43	44	+1	Nasdaq				
LinkedIn	110	109	110	+1					
Slack	110	109	110	+1					
Zoom	110	109	110	+1					
Dropbox	110	109	110	+1					
Box	110	109	110	+1					
Evernote	110	109	110	+1					
OneDrive	110	109	110	+1					
SharePoint	110	109	110	+1					
Office 365	110	109	110	+1					
Microsoft Dynamics	110	109	110	+1					
Microsoft Azure	110	109	110	+1					
Microsoft Dynamics 365	110	109	110	+1					
Microsoft Dynamics CRM	110	109	110	+1					
Microsoft Dynamics NAV	110	109	110	+1					
Microsoft Dynamics GP	110	109	110	+1					
Microsoft Dynamics SL	110	109	110	+1					
Microsoft Dynamics AX	110	109	110	+1					
Microsoft Dynamics ERP	110	109	110	+1					
Microsoft Dynamics AX-2012	110	109	110	+1					
Microsoft Dynamics AX-2012 R2	110	109	110	+1					
Microsoft Dynamics AX-2012 R3	110	109	110	+1					
Microsoft Dynamics AX-2012 R4	110	109	110	+1					
Microsoft Dynamics AX-2012 R5	110	109	110	+1					
Microsoft Dynamics AX-2012 R6	110	109	110	+1					
Microsoft Dynamics AX-2012 R7	110	109	110	+1					
Microsoft Dynamics AX-2012 R8	110	109	110	+1					
Microsoft Dynamics AX-2012 R9	110	109	110	+1					
Microsoft Dynamics AX-2012 R10	110	109	110	+1					
Microsoft Dynamics AX-2012 R11	110	109	110	+1					
Microsoft Dynamics AX-2012 R12	110	109	110	+1					
Microsoft Dynamics AX-2012 R13	110	109	110	+1					
Microsoft Dynamics AX-2012 R14	110	109	110	+1					
Microsoft Dynamics AX-2012 R15	110	109	110	+1					
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Microsoft Dynamics AX-2012 R17	110	109	110	+1					
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Microsoft Dynamics AX-2012 R25	110	109	110	+1					
Microsoft Dynamics AX-2012 R26	110	109	110	+1					
Microsoft Dynamics AX-2012 R27	110	109	110	+1					
Microsoft Dynamics AX-2012 R28	110	109	110	+1					
Microsoft Dynamics AX-2012 R29	110	109	110	+1					
Microsoft Dynamics AX-2012 R30	110	109	110	+1					
Microsoft Dynamics AX-2012 R31	110	109	110	+1					
Microsoft Dynamics AX-2012 R32	110	109	110	+1					
Microsoft Dynamics AX-2012 R33	110	109	110	+1					
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Microsoft Dynamics AX-2012 R47	110	109	110	+1					
Microsoft Dynamics AX-2012 R48	110	109	110	+1					
Microsoft Dynamics AX-2012 R49	110	109	110	+1					
Microsoft Dynamics AX-2012 R50	110	109	110	+1					
Microsoft Dynamics AX-2012 R51	110	109	110	+1					
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Microsoft Dynamics AX-2012 R67	110	109	110	+1					
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Microsoft Dynamics AX-2012 R97	110	109	110	+1					
Microsoft Dynamics AX-2012 R98	110	109	110	+1					
Microsoft Dynamics AX-2012 R99	110	109	110	+1					
Microsoft Dynamics AX-2012 R100	110	109	110	+1					

## SECURITIES LAW

Securities law transactions, whether pre-IPO financing or public offerings, private placements, take-over bids or restructuring by way of a plan of arrangement, are complex and fast-paced. Whether you're investing in a start-up venture, launching an IPO, planning a corporate take-over bid or tackling a difficult regulatory issue, **you need the expertise and experience the attorneys at Burns, Figa & Will can provide.**

We examine proposed transactions for compliance with the Securities Act of 1933 and the Exchange Act of 1934 and when necessary, render legal opinions as to the legality of the proposed transactions under applicable law. **We advise** clients on private and public company compliance with the Sarbanes-Oxley Act. If our corporate clients make the transition to public ownership, **we counsel** them through initial public offerings of stock, the sale of debentures, and other public securities transactions.

We regularly oversee our clients' filings with the Securities and Exchange Commission, such as 10Ks, 10Qs, annual and quarterly reports to stockholders. When necessary, we counsel clients involved in shareholder relations issues or disputes, and we have successfully litigated issues involving violations of both state and federal securities laws when those violations have resulted in damages to our clients.

We represent a wide variety of securities industry clients, including New York Stock Exchange member firms, National Association of Securities Dealers member firms, state and federal registered investment advisors, investment companies, financial planners, money managers, and individual representatives.

### Our practice areas relating to the securities industry include:

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- ▶ Class Action and Major Liability Defense
- ▶ Debt and Equity
- ▶ Dissolution and Foreclosure
- ▶ Employment and Termination Issues
- ▶ Investment Company Registration and Regulation
- ▶ Litigation
- ▶ Mediation
- ▶ Mergers and Acquisitions
- ▶ Noncompetition Agreements
- ▶ Policies and Procedures
- ▶ Privacy
- ▶ Public Offerings
- ▶ Purchase and/or Sale Agreements
- ▶ Raiding and Recruitment
- ▶ Sarbanes-Oxley Compliance
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- ▶ State, Federal, and SRO Regulatory Defense

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